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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/026,850
Filing Date December 19, 2001
First Named Inventor Andrew Dugan et al
Group Art Unit 2665
Examiner Name H. Vu
Attorney Docket Number COS98029C1

Total Number of Pages in This Submission 6+ref

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/ Incomplete Application
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
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- ☐ After Allowance Communication to Group
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☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify below):

PTO-1449 form
16 references
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Eugene G. Byrd, Reg. No. 47,361
WorldCom, Inc.

Signature

Eugene G. Byrd
11/12/2002

Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Andrew Dugan et al.

Confirmation No.: 2399

Serial No.: 10/026,850

Art Unit: 2665

Filed: December 19, 2001

Examiner: H. Vu

Title: Method and Apparatus for Managing Local Resources at Service Nodes in an Intelligent Network

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

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Technology Center 2600

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The documents cited herein are submitted under 37 C.F.R. § 1.97(e)(2), and are before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due.

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.


Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491, and please credit any excess fees to such deposit account.

Respectfully submitted,

Date: 11/12/2002



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
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ATTY. DOCKET NO.

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10/026,850

LIST OF DOCUMENTS CITED BY APPLICANT
(Use several sheets if necessary)

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APPLICANT(S): Andrew Dugan et al

FILING DATE

GROUP

December 19, 2001

2665

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U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,754,639	05/1998	Flockhart et al.			
	5,825,869	10/1998	Brooks et al.			
	5,828,747	10/1998	Fisher et al.			
	5,923,892	07/1999	Levy			
	6,044,368	03/2000	Powers			
	6,134,530	10/2000	Bunting et al.			
	6,295,353	09/2001	Flockhart et al.			
	6,321,323	11/2001	Nugroho et al.			
	6,327,355	12/2001	Britt			
	6,330,326	12/2001	Whitt			
	6,418,461	07/2002	Barnhouse et al.			
	6,453,038	09/2002	McFarlane et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	95/23483	08/1995	WO				
	96/13949	05/1996	WO				
	98/09421	03/1998	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Stowe, M. "Service Management for the Advanced Intelligent Network" Countdown to the New Millennium. Phoenix, Dec. 2-5, 1991, Proceeding of the Global Telecommunications Conference, (Globecom), New York, IEEE, US, vol. 3, 2 December 1991, pages 1667-1671

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.